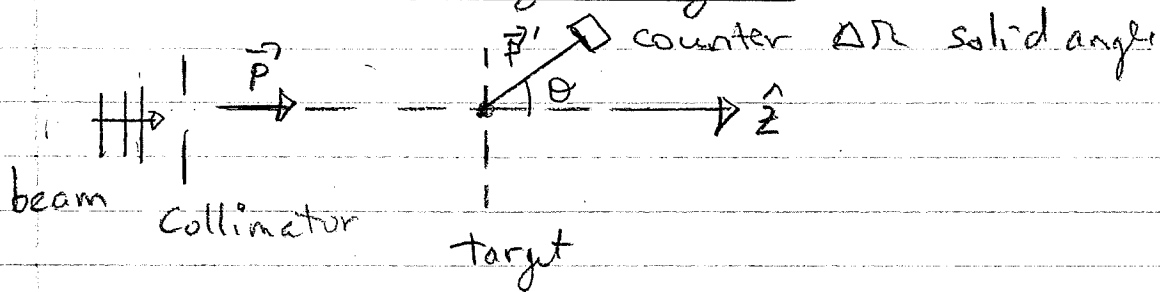


Scattering Theory I



Collimated beam of particles, approximately plane wave

$$\vec{p} = \hbar \vec{k}, \quad \phi_{\vec{k}}(\vec{r}) = \langle \vec{k}' | \vec{k} \rangle = e^{i\vec{k}' \cdot \vec{r}}$$

normalized as  $\langle \vec{k}' | \vec{k} \rangle = (2\pi)^3 \delta^3(\vec{k}' - \vec{k})$

Quantity of physical interest is the differential cross section

$$d\sigma = \left( \frac{d\sigma}{d\Omega} \right) d\Omega \equiv \frac{\text{Rate scattered into } d\Omega \text{ @ } \theta, \phi}{\text{incident flux}}$$

flux  $\equiv$  incident particles / area / time  
 measured, for example, as  $\frac{\text{current}}{A \text{ (collimator area)}}$

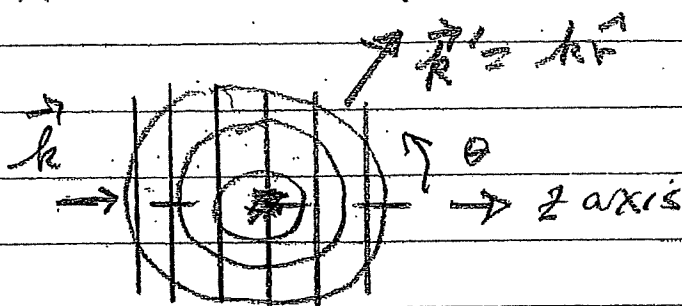
Dividing by flux leaves quantity intrinsic to interaction (scattering potential).

$\sigma$  is dimensionally an area, area  $\perp$  to beam.  
 Lorentz invariant under boosts in beam direction.

## Elastic Scattering

In the CM frame (or for a fixed force center) only the direction of the momentum changes in the collision:  $|\vec{k}'| = |\vec{k}|$

Time independent description:



We cannot observe the scattering in the shaded region of size  $\lambda = \frac{1}{k}$ . Observations are

in the asymptotic region  $r \gg \lambda$

$$\psi \underset{r \rightarrow \infty}{\sim} \psi_{in} + \psi_{sc}$$

where  $\psi_{in} = A e^{ikz}$  incident plane wave

$$\psi_{sc} \sim A \frac{e^{ikr}}{r} f(\theta, \phi) \quad \text{outgoing spherical wave}$$

We will derive this asymptotic form rigorously later.  $f(\theta, \phi)$  is called the scattering amplitude.

Note: probability is conserved by destructive interference in the forward ( $\theta = 0$ ) direction. (optical theorem)

recall probability flux:

$$\vec{j} = \frac{\hbar}{2mi} (\psi^* \vec{\nabla} \psi - \psi \vec{\nabla} \psi^*) = \frac{\hbar}{m} \text{Im}(\psi^* \vec{\nabla} \psi)$$

$$\vec{j}_{in} = \frac{\hbar k}{m} |A|^2 \hat{z}$$

$$\vec{j}_{sc} \underset{r \rightarrow \infty}{\sim} \frac{\hbar k}{m r^2} |A|^2 |f|^2 \hat{r}$$

$$\left( \frac{d\sigma}{d\Omega} \right) d\Omega = \frac{(\vec{j}_{sc} \cdot \hat{r}) r^2 d\Omega}{|\vec{j}_{in}|} = |f|^2 d\Omega$$

$$\frac{d\sigma}{d\Omega} = |f|^2 \quad \begin{array}{l} \text{scattering amplitude} \\ \text{squared} \end{array}$$

Note: Since  $A$  cancels in the ratio of fluxes we take  $A=1$  from now on.

Optical theorem:  $\text{Im} f(\theta=0) = k \frac{\sigma_{tot}}{4\pi}$

$$\sigma_{tot} = \int \left( \frac{d\sigma}{d\Omega} \right) d\Omega$$

# Formal Development

$$H = H_0 + V$$

$H_0 = \frac{p^2}{2m}$  free particle, energy eigenstate

$$H_0 |\phi\rangle = E |\phi\rangle \quad E = \frac{\hbar^2 k^2}{2m}$$

Complete solution,

$$H |\psi\rangle = (H_0 + V) |\psi\rangle = E |\psi\rangle \quad (1)$$

We can solve formally if we can define inverse operator,

$$(E - H_0) |\psi\rangle = V |\psi\rangle \quad (2)$$

$$|\psi\rangle = (E - H_0)^{-1} V |\psi\rangle + |\phi\rangle$$

apply  $(E - H_0)$  to each side gives back original equation.

This is formal solution since  $|\psi\rangle$  appears on both sides. The addition of  $|\phi\rangle$  takes into account that at large distances, solution is free particle.

In position space, the plane wave

$\phi_{\vec{k}}(\vec{r}) = \langle \vec{r} | \vec{k} \rangle = e^{i\vec{k} \cdot \vec{r}}$  where it is convenient to use the normalization of the plane wave

$$\langle \vec{k}' | \vec{k} \rangle = (2\pi)^3 \delta^3(\vec{k}' - \vec{k})$$

In position space?

$$\int d^3r' \langle \vec{r}' | \vec{r} \rangle \langle \vec{r}' | \psi \rangle$$

$$\langle \vec{r} | \psi \rangle = \langle \vec{r} | \phi \rangle + \langle \vec{r} | E - H_0 \rangle^{-1} V(\vec{r}) \psi(\vec{r})$$

$$\langle \vec{r}'' | V | \vec{r}' \rangle = V(\vec{r}') \delta^3(\vec{r}'' - \vec{r}')$$

becomes

$$\psi(\vec{r}) = \phi(\vec{r}) + \int d^3r' \langle \vec{r} | E - H_0 \rangle^{-1} | \vec{r}' \rangle V(\vec{r}') \psi(\vec{r}')$$

then define  $\langle \vec{r} | (E - H_0)^{-1} | \vec{r}' \rangle \equiv \frac{2m}{\hbar^2} G(\vec{r}, \vec{r}')$   
 where the Green's function satisfies

$$(\nabla^2 + k^2) G(\vec{r}, \vec{r}') = \delta^3(\vec{r} - \vec{r}')$$

this works because by substitution we get back

$$(\nabla^2 + k^2) \psi(\vec{r}) = \frac{2m}{\hbar^2} V(\vec{r}) \psi(\vec{r})$$

$$\text{or } (H_0 + E) |\psi\rangle = V \psi \text{ or } (H_0 + V) |\psi\rangle = E |\psi\rangle$$

original eigenvalue equation.

The Green's function is evaluated by  $\vec{r} \rightarrow 0$

Fourier transform,  $G(\vec{r}, \vec{r}') = G(\vec{r} - \vec{r}') \rightarrow G(\vec{r})$

$$\delta(\vec{r}) = \left(\frac{1}{2\pi}\right)^3 \int d^3q e^{i\vec{q} \cdot \vec{r}} \leftarrow \text{Fourier transform}$$

$$G(\vec{r}) = \left(\frac{1}{2\pi}\right)^{3/2} \int d^3q e^{i\vec{q} \cdot \vec{r}} G(q)$$

$$\left(\frac{1}{2\pi}\right)^{3/2} \int d^3q (-q^2 + k^2) e^{i\vec{q} \cdot \vec{r}} G(q) = \left(\frac{1}{2\pi}\right)^3 \int d^3q e^{i\vec{q} \cdot \vec{r}}$$

$$\text{giving } G(q) = \left(\frac{1}{2\pi}\right)^{3/2} \left(\frac{1}{k^2 - q^2}\right)$$

note singular for  $q = k$

## Green's function or propagator

$$G(\vec{r}, \vec{r}') = \frac{\hbar^2}{2m} \langle \vec{r} | (E - H_0)^{-1} | \vec{r}' \rangle$$

$$\int d^3q | \vec{q} \rangle \langle \vec{q} | \quad \int d^3q' | \vec{q}' \rangle \langle \vec{q}' |$$

where  $| \vec{q} \rangle$  are plane wave states,

$$H_0 | \vec{q} \rangle = \frac{\hbar^2 q^2}{2m} | \vec{q} \rangle$$

$$\langle \vec{q}' | \vec{q} \rangle = (2\pi)^3 \delta^3(\vec{q}' - \vec{q})$$

$$\text{So } \langle \vec{q}' | (E - H_0)^{-1} | \vec{q}' \rangle = \frac{1}{(2\pi)^3} \left( \frac{2m}{\hbar^2} \right) \frac{\delta^3(\vec{q}' - \vec{q})}{k^2 - q^2}$$

$$\text{where } E = \frac{\hbar^2 k^2}{2m}$$

We get, with  $\langle \vec{r} | \vec{q} \rangle = e^{i\vec{q} \cdot \vec{r}}$

$$G(\vec{r}, \vec{r}') = \left( \frac{1}{2\pi} \right)^3 \int d^3q \frac{e^{i\vec{q} \cdot (\vec{r} - \vec{r}')}}{k^2 - q^2}$$

$$= \left( \frac{1}{2\pi} \right)^{3/2} \int d^3q e^{i\vec{q} \cdot (\vec{r} - \vec{r}')} \tilde{G}(\vec{q})$$

$$\text{with } \tilde{G}(\vec{q}) = \left( \frac{1}{2\pi} \right)^{3/2} \frac{1}{k^2 - q^2}$$

Fourier transform

Then

$$G(\vec{r}) = \left(\frac{1}{2\pi}\right)^3 \int d^3q e^{i\vec{q}\cdot\vec{r}} \left(\frac{1}{k^2 - q^2}\right)$$

evaluate the integral   $\vec{q}\cdot\vec{r} = qrc \cos\theta \equiv qrc$

$$G(\vec{r}) = \left(\frac{1}{2\pi}\right)^3 (2\pi) \int_0^\infty q^2 dq \left(\frac{1}{k^2 - q^2}\right) \int_{-1}^{+1} dc e^{iqr c}$$

$$= \left(\frac{1}{2\pi}\right)^2 \frac{1}{r} \int_0^\infty \frac{q dq}{k^2 - q^2} 2 \sin(qr)$$

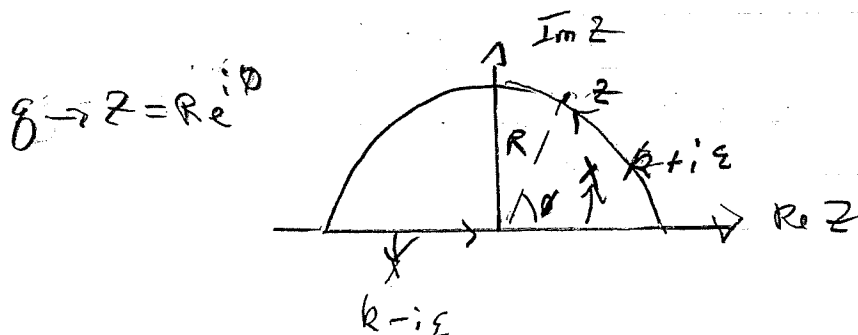
$$= \frac{1}{4\pi^2} \left(\frac{1}{ir}\right) \int_0^\infty \frac{q dq}{k^2 - q^2} (e^{iqr} - e^{-iqr}) \quad \rightarrow \text{let } q = -q'$$

$$\left(\frac{1}{4\pi^2}\right) \frac{1}{ir} \left[ \int_0^\infty \frac{q dq}{k^2 - q^2} e^{iqr} - \int_0^\infty \frac{q dq}{k^2 - q^2} e^{iqr} \right]$$

$$= \frac{i}{4\pi^2} \left(\frac{1}{r}\right) \int_{-\infty}^\infty \frac{q dq e^{iqr}}{q^2 - k^2}$$

may be evaluated by contour integration

$$q^2 - k^2 = (q + k + i\epsilon)(q - k - i\epsilon)$$



$g \Rightarrow z = R e^{i\phi}$  contour integral. Enclose pole at  $z = k + i\epsilon$  by closing contour in upper half plane.

$$\lim_{\substack{\epsilon \rightarrow 0 \\ R \rightarrow \infty}} \int \frac{z dz e^{i\phi t}}{(z+k+i\epsilon)(z-k-i\epsilon)} = 2\pi i (\text{Residue at } z = k+i\epsilon)$$

Residue =  $\frac{R}{2k} e^{i k t} = \frac{1}{2} e^{i k t}$ , so integral =  $\pi i e^{i k t}$   
 Integral along real axis gives desired integral,

$$R \rightarrow \infty \int_{-R}^R \frac{R dR e^{i R t}}{(R+k+i\epsilon)(R-k-i\epsilon)} = \int_{-\infty}^{\infty} \frac{g d\phi e^{i\phi t}}{(g+k+i\epsilon)(g-k-i\epsilon)}$$

Integral over semicircle at fixed  $R$ ,  $dz = R i d\phi$   
 $z = R e^{i\phi}$

$$\lim_{R \rightarrow \infty} \int_0^\pi \frac{R e^{i\phi} i d\phi e^{i z t}}{R^2 e^{2i\phi}} = \lim_{R \rightarrow \infty} \int_0^\pi i d\phi e^{i k t \cos \phi - R \sin \phi} = 0$$

with  $e^{i z t} = \exp(i R t \cos \phi) \exp(-R t \sin \phi)$

$$\text{So } G_+(r) = \frac{i}{4\pi^2} \left(\frac{1}{r}\right) \pi i e^{i k t} = -\frac{1}{4\pi} \frac{e^{i k t}}{r}$$

We see that choice of pole gives outgoing spherical wave.

We arrive at

$$\Psi(\vec{r}) = \Phi_2(\vec{r}) - \frac{\eta}{2\pi\hbar^2} \int d^3 r' \frac{e^{i k |\vec{r} - \vec{r}'|}}{|\vec{r} - \vec{r}'|} V(\vec{r}') \Psi(\vec{r}')$$



Asymptotic Limit

$$|\vec{r} - \vec{r}'| = (r^2 + r'^2 - 2r\vec{r} \cdot \vec{r}')^{1/2} = r \left( 1 + \left(\frac{r'}{r}\right)^2 - 2\frac{\vec{r} \cdot \vec{r}'}{r} \right)^{1/2}$$

$$\approx r - \left(\frac{r'}{r}\right) \cdot \vec{r}'$$

$$\text{then } k|\vec{r} - \vec{r}'| = kr - k\vec{r} \cdot \vec{r}' = kr - \vec{k}' \cdot \vec{r}'$$

$$\frac{e^{i k |\vec{r} - \vec{r}'|}}{|\vec{r} - \vec{r}'|} \xrightarrow{r \rightarrow \infty} \frac{e^{i k r}}{r} e^{-i \vec{k}' \cdot \vec{r}'}$$

$$\psi(\vec{r}) \xrightarrow{r \rightarrow \infty} C e^{i \vec{k} \cdot \vec{r}} + \frac{e^{i k r}}{r} \left[ \frac{-m}{2\pi\hbar^2} \int d^3 r' e^{-i \vec{k}' \cdot \vec{r}'} \psi(\vec{r}') V(\vec{r}') \right]$$

we see  $f(\theta, \phi) = [ ]$  scattering amplitude

Convergence of integral requires  $V(r) \rightarrow 0$   
 $r \rightarrow \infty$

faster than  $1/r$

## Iterative solution of integral equation

Define transition operator  $T$  as

$$T|\phi\rangle = V|\psi\rangle$$

$$|\psi\rangle = |\phi\rangle + (E - H_0)^{-1} V|\psi\rangle = |\phi\rangle + (E - H_0)^{-1} T|\phi\rangle$$

operate with  $V$

$$V|\psi\rangle = T|\phi\rangle = V|\phi\rangle + V(E - H_0)^{-1} T|\phi\rangle$$

operator equation for  $T$

$$T = V + V(E - H_0)^{-1} T$$

with iterative solution

$$T = V + V(E - H_0)^{-1} V + V(E - H_0)^{-1} V(E - H_0)^{-1} V + \dots$$

relate to scattering amplitude

$$f(\theta, \phi) = f(\vec{k}', \vec{k}) = -\frac{m}{2\pi\hbar^2} \int d^3\vec{r}' e^{-i\vec{r}' \cdot \vec{k}'} V(\vec{r}') \psi(\vec{r}')$$

$$\text{with } \langle \vec{k}' | V | \vec{k}'' \rangle = V(\vec{r}') \delta^3(\vec{k}' - \vec{k}'')$$

$$f(\vec{k}', \vec{k}) = -\frac{m}{2\pi\hbar^2} \int d^3\vec{r}' \langle \vec{k}' | \vec{r}' \rangle \langle \vec{r}' | V | \psi \rangle$$

$$= -\frac{m}{2\pi\hbar^2} \langle \vec{k}' | V | \psi \rangle = -\frac{m}{2\pi\hbar^2} \langle \vec{k}' | T | \vec{k} \rangle$$

$f$  is the transition  $|k\rangle \rightarrow |k'\rangle$

Inserting iterative solution gives  
Born series:

$$f(\vec{k}, \vec{k}') = \sum_{n=1}^{\infty} f^{(n)}(\vec{k}, \vec{k}')$$

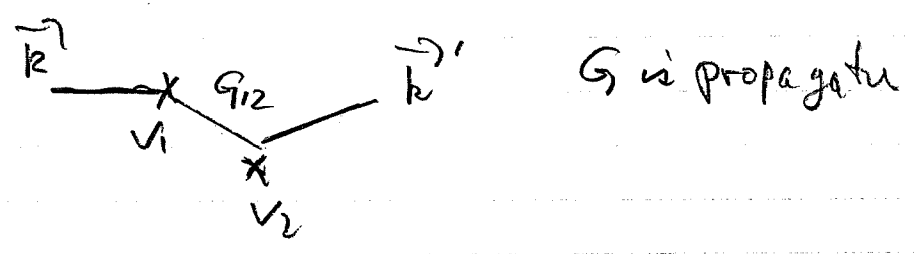
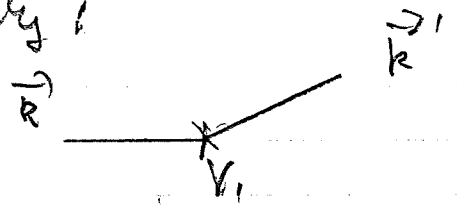
n is number of times V appears

$$f^{(1)} = \frac{-m}{2\pi\hbar^2} \langle \vec{k}' | V | \vec{k} \rangle \quad \text{Born approximation}$$

$$f^{(2)} = \frac{-m}{2\pi\hbar^2} \langle \vec{k}' | V (E - H_0)^{-1} V | \vec{k} \rangle$$

etc,

Graphically:



G is propagator

unpack  $f^{(2)}$ :

$$f^{(2)} = \frac{-m}{2\pi\hbar^2} \langle \vec{k}' | \int d^3r_1 |r_1\rangle \langle r_1 | V(E-H_0)^{-1} | \int d^3r'' |r''\rangle \times \langle r'' | V | \vec{k} \rangle$$

$$= \frac{-m}{2\pi\hbar^2} \int d^3r_1 \int d^3r'' \langle \vec{k}' | \vec{r}_1 \rangle V(r_1)$$

$$\times \langle \vec{r}_1 | (E-H_0)^{-1} | r'' \rangle V(r'') \langle \vec{r}'' | \vec{k} \rangle$$

$$\frac{2m}{\hbar^2} G_+(|\vec{r}_1 - \vec{r}''|)$$

$$= \frac{-m^2}{\pi\hbar^4} \int d^3r_1 d^3r'' e^{-i\vec{k}' \cdot \vec{r}_1} V(r_1) G_+(\vec{r}_1, \vec{r}'') \times V(r'') e^{i\vec{k} \cdot \vec{r}''}$$

$$\text{with } G_+(\vec{r}_1, \vec{r}'') = -\frac{1}{4\pi} e^{i\vec{k} \cdot (\vec{r}_1 - \vec{r}'')} \frac{1}{|\vec{r}_1 - \vec{r}''|}$$

# Evaluating the Born Amplitude

$$f^{(1)} = \frac{-m}{2\pi\hbar^2} \langle \vec{k}' | V | \vec{k} \rangle$$

$$= \frac{-m}{2\pi\hbar^2} \int d^3\vec{r}' e^{i(\vec{k}-\vec{k}') \cdot \vec{r}'} V(\vec{r}')$$

$f^{(1)}$  is the Fourier transform of the potential.

let  $\vec{q} = \vec{k} - \vec{k}'$  momentum transfer.

Angular integral ( $c = \cos \theta$ ) for spherically symmetric  $V$

$$f^{(1)}(\theta) = \frac{-m}{2\pi\hbar^2} \int_0^\infty r'^2 dr' V(r') (2\pi) \int_{-1}^{+1} dc e^{iqr'c}$$

$\frac{2}{qr'} \sin(qr')$

$f^{(1)}(\theta) = \frac{-2m}{\hbar^2 q} \int_0^\infty r' dr' \sin(qr') V(r')$
--

$$q^2 = |\vec{q}|^2 = k^2 + k^2 - 2k^2 \cos \theta = 2k^2(1 - \cos \theta)$$

$$= 4k^2 \sin^2 \frac{\theta}{2}$$

$q = 2k \sin \frac{\theta}{2}$
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momentum transfer in C.M. frame

Note that integral diverges for Coulomb potential.

## Validity of Born Approximation

Scattered wave is small,

$$\frac{|\Psi_{sc}(\vec{r} \rightarrow \infty)|}{|\Psi_{in}(\vec{r} \rightarrow \infty)|} \ll 1$$

To get  $\Psi_{sc}(\vec{r} \rightarrow \infty)$ , have to go back to before the asymptotic limit of  $G_{\pm}$

$$|\Psi_{sc}^{(1)}(0)| = \left| \frac{2m}{\hbar^2} \left( \frac{-1}{4\pi} \right) \int d^3r' \frac{e^{i\mathbf{k}\cdot\mathbf{r}'} V(r') \phi_{\vec{k}}(\vec{r}')}{r'} \right|$$

$$\Psi_{in} = e^{i\vec{k}\cdot\vec{r}} \Big|_{r \rightarrow \infty} = 1 \quad e^{i\vec{k}\cdot\vec{r}'} = e^{i\mathbf{k}\cdot\mathbf{r}'}$$

$$\left| \frac{\Psi_{sc}(0)}{\Psi_{in}(0)} \right| = \frac{m}{2\pi\hbar^2} \left| \int_0^{\infty} r'^2 dr' \left( \frac{e^{i\mathbf{k}\cdot\mathbf{r}'}}{r'} \right) V(r') 2\pi \int_{-1}^{+1} dc e^{i\mathbf{k}\cdot\mathbf{r}'c} \right|$$

$$= \frac{2m}{\hbar^2 k} \left| \int_0^{\infty} dr' V(r') e^{i\mathbf{k}\cdot\mathbf{r}'} \underbrace{\sin(kr')}_{\frac{2}{kr'} \sin(kr')} \right|$$

$$\frac{1}{2i} (e^{i2kr'} - 1)$$

High energy limit  $k \rightarrow \infty$ , integral of rapidly oscillating  $e^{i2kr'}$  gives zero.

then at high energy (large  $k$ ) the validity condition is

$$\frac{m}{\hbar^2 k} \left| \int_0^\infty dr V(r) \right| \ll 1$$

Assuming the integral converges we can define average  $V$  over range  $r_0$ ,

$$\int_0^\infty dr V(r) = V_0 r_0$$

then  $\frac{m V_0 r_0}{\hbar^2 k} \ll 1$  see Commins, eq. 18.94  
valid for large  $k$

For Coulomb potential we can set a minimum distance  $1/k$  and screening distance  $a$

$$\left| \int_{1/k}^a dr \frac{-ze^2}{r} \right| = ze^2 \ln(ka)$$

$\frac{m}{\hbar^2 k} (ze^2) \ln(ka) \ll 1$  also valid  
for large  $k$

See Sakurai, eq 7.2.15

In general, expect Born to be valid at large energy. However, at sufficiently high energy, higher order terms in expansion of  $f$  become large.

At low energy  $k \rightarrow 0$  limit

$$e^{ikr} \sin(kr) \approx kr$$

Validity condition is

$$\frac{2m}{\hbar^2} \left| \int_0^\infty r dr V(r) \right| \ll 1$$

Again, if integral converges we can define an average potential  $V_0$  over an effective range  $r_0$ ,

$$\frac{2m}{\hbar^2} r_0^2 V_0 \ll 1$$

Comparing to high energy condition, we see that if Born is valid for low energy, it is valid for all energies.

For low energy, the method of partial waves is more generally valid



Born example.

$$V(r) = g \frac{e^{-r/r_0}}{r}$$

Yukawa potential with range

$$r_0 = \frac{1}{m_0}$$

note definition of  $m_0$

$$f^{(1)} = \frac{-2m}{\hbar^2 g} \int_0^{\infty} r' dr' \sin(\delta r') \frac{g}{r'} e^{-r'/r_0}$$

$$\text{with } \sin \delta r = \frac{1}{2i} (e^{i\delta r} - e^{-i\delta r})$$

$$f^{(1)}(q^2) = \frac{-2mg}{\hbar^2 (g^2 + m_0^2)} ; \quad q^2 = 4k^2 \sin^2 \theta/2$$

In limit  $m_0 \rightarrow 0$  ( $r_0 \rightarrow \infty$ )

$$f^{(1)}(\theta) = \frac{-2mg}{\hbar^2 4k^2 \sin^2 \theta/2}$$

$$\frac{d\sigma}{d\Omega} = |f^{(1)}(\theta)|^2 = \frac{m^2 g^2}{4 \hbar^2} \frac{1}{k^4 \sin^4(\theta/2)}$$

For low energy  $\alpha$ -particle scattering  
lab frame is same as CM frame.

$\hbar^2 k^2 = 2m_\alpha E$  ;  $g = 2Ze^2$  set  
Rutherford scattering,

$$\frac{d\sigma}{d\Omega} = \frac{e^4 Z^2}{4E^2} \sin^{-4}(\theta/2)$$

Note: no  $\hbar$  dependence. This is the same as  
the classical result